PTO-1390

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER 7388/88083

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U.S. APPLICATION NO. (If known, see 17 CFR 1/5)
U.S. Applin No.: Not Yet
Assigned

INTERNATIONAL APPLn.NO.: PCT/JP2004/012955

INTERNATIONAL FILING DATE

International Filing Date: 6 September 2004

PRIORITY DATE CLAIMED
5 September 2003

TITLE OF INVENTION

AGENT FOR PREVENTION AND/OR TREATMENT OF ALZHEIMER'S DISEASE

APPLICANT(S) FOR DO/EO/US

Akira NAKAGAWARA, Toshifumi OZAKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. 

  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. 
  This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 5. 

  A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. 

    is attached hereto (required only if not communicated by the International Bureau).
  - b. 

    has been communicated by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. B is attached hereto.
  - b. a has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. 🚊 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. 

    are attached hereto (required only if not communicated by the International Bureau).
  - b. 

    have been communicated by the International Bureau.

  - d. 

    have not been made and will not be made.
- 8. \_ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. 

  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. 

  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 20. below concern document(s) or information included:

- 11. 

  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. 

  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. 

   A preliminary amendment TO BE ENTERED BEFORE CALCULATING FEES DUE.

14. 
An Application Data Sheet under 37 CFR 1.76. 15. 

A substitute specification. 16. 

A power of attorney and/or change of address letter. 17. 

■ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. 

A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 💆 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: 20. ⊠ International Search Report (PCT/ISA/210) PCT Notification Concerning Submission of Transmittal of Priority Document (PCT/IB/304) PCT Notice Informing the Applicant of the Communication of the International Application (to Designated Offices Which Do Not Apply the 30 Month Time Limit Under Article 22(1)) (PTO/IB/308) Formal Drawings, 18 sheets, Figs. 1-18

INTERNATIONAL APPLICATION NO.

PCT/JP2004/012955

ATTORNEY DOCKET NUMBER

7388/88083

U.S. APPLICATION NO. (if known, see 37 CFR

1.5)



21. 1	The following fees are submitted:								Applicant use		Office use only
<u> </u>	3	a)	Basic national	l fee \$300.00					\$	300.00	
_	3	b)	Examination for	ee \$200.00					\$	200.00	
	c) International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400.00								\$	400.00	
TOTAL OF ABOVE CALCULATIONS =									\$	900.00	)
	<u> </u>	list	ting or computer p	ecification and drawings program listing filed in a or fraction thereof.							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof RATE							RATE				
	29 - 100			0 / 50 =	0		х	\$250.00		0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).										0.00	)
CI	CLAIMS			NUMBER FILED		NUMBER EXTRA		RATE			
otal claims				12	- 20 =	0	x	\$50.00		0.00	)
ndependent Claims			Claims	9	- 3 =	6	х	\$200.00	\$	1,200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00										\$0.00	
TOTAL OF ABOVE CALCULATIONS =									\$	2,100.00	
Applicant(s) assert entitlement to Small Entity Status (37 CFR 1.27), thus reducing the filing fee by half to:										0.00	
SUBTOTAL =									\$	2,100.00	)
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).										0.00	)
TOTAL NATIONAL FEE =									\$	2,100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.										0.00	
TOTA	AL.	FEE	S ENCLOSED	=		<del></del>		*******	\$	2,100.00	
	-								1		\$

A check in the amount of \$

AMOUNT TO BE:

to cover the above fees is enclosed.

Please charge my Deposit Account No. 06-1135, regarding our Order No. 7388/88083, in the amount of \$\_2,100\_ to cover the above fees. A lь. duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135 regarding **Order No. 7388/88083**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: CUSTOMER No. 42798

FITCH, EVEN, TABIN & FLANNERY 1801 K Street, NW, Suite 401L Washington, DC 2006-1201 Telephone: (202) 419-7000 Facsimile: (202) 419-7007

NAME: Kendrew H. Colton REGISTRATION NUMBER: 30,368 \$

Charged

Date: March 3, 2006